#### REMARKS/ARGUMENTS

Reconsideration and withdrawal of the rejections of the application are respectfully requested in view of the amendments and remarks herewith, which place the application into condition for allowance. The present amendment is being made to facilitate prosecution of the application.

#### I. STATUS OF THE CLAIMS AND FORMAL MATTERS

Claims 1-53 are pending in this application. Claims 1, 8-10, 14-16, 27-29, 37-39, 46-48, 52 and 53 are independent. Claims 14-16, 20-22, 27, 28, 46-48 and 52 and 53 are amended thereby obviating the claim objections. No new matter has been introduced by this amendment. Support for this amendment is provided throughout the Specification and Drawings, specifically at Figures 3, 14 and 24. Changes to claims are not made for the purpose of patentability within the meaning of 35 U.S.C. §101, §102, §103, or §112. Rather, these changes are made simply for clarification and to round out the scope of protection to which Applicants is entitled.

Claims 20, 21 and 22 have been amended, thereby rendering the objection to the claims moot. Antecedent basis and clarification for claims 20 and 21 is recited in the preamble of the claims 16 and 19. The "filter data" is the data prior to being decoded and subsequently becoming "decoded filter data".

Applicants respectfully disagree with the objection to claim 26. Applicants submit that claim 26 is a "wherein" clause that elaborates on how "code is obtained on encoding speech in accordance with a CELP (Code Excited Linear Prediction Coding) system."

Accordingly, reconsideration and withdrawal of the Claim objections are respectfully requested.

Claims 1-13 and 39-45 were indicated as allowed.

Claims 14 and 15 have been amended to incorporate the allowable subject matter of claim 10. Claims 46, 47, 48, 52 and 53 recite the allowable subject matter of claim 39.

# II. REJECTIONS UNDER 35 U.S.C. §102(b)

Claims 14, 16-25, 27, 29-35, 37, 46, 48-50 and 52 were rejected under 35 U.S.C. §102(b) as allegedly anticipated by U.S. Patent No. 5,978,759 to Tsushima et al. (hereinafter, merely "Tsushima").

Claim 16 recites, inter alia:

"A data processing device for generating, from a preset code, filter data to be afforded to a speech synthesis filter adapted for synthesizing the speech based on linear prediction coefficients and a preset input signal, comprising:

code decoding means for decoding said code to output decoded filter data;

acquisition means for acquiring preset tap coefficients as found by carrying out learning; and

prediction means for carrying out preset predictive calculations, using said tap coefficients and the decoded filter data, to find prediction values of said filter data, to send the so found prediction values to said speech synthesis filter for use as linear prediction coefficients in said speech syntheses filter." (emphasis added)

As understood by Applicants, Tsushima relates to an apparatus for producing wideband speech signals from narrowband speech signals, particularly for producing wideband speech from telephone-band speech. The apparatus comprises a linear mapping function

codebook used for converting spectral parameters, and a weights calculator and an adder for weighing and summing function outputs.

It is respectfully submitted that Tsushima fails to provide the disclosure of claim

16. Page 13 Office Action recites column 3, line 47 - column 5, line 29 of Tsushima, which

states, "...spectral envelope converter 109 converts the input spectral envelope parameters into

spectral envelope parameters of a wider bandwidth...an input feature vector having p elements

comprising the input spectral envelope parameters and an output or converted feature vector

obtained by a k<sup>th</sup> linear mapping function... a linear mapping function codebook that has M

linear mapping functions, each of which corresponds to a spectral envelope code of the spectral

envelope codebook..." Applicants respectfully disagree with the assertion that Tsushima

provides the disclosure of claim 16.

Applicants submit that Tsushima fails to teach or suggest the features of claim 16. Specifically, Applicants submit that there is no teaching or suggestion of a data processing device for generating, from a preset code, filter data to be afforded to a speech synthesis filter adapted for synthesizing the speech based on linear prediction coefficients and a preset input signal, comprising prediction means for carrying out preset predictive calculations, using said tap coefficients and the decoded filter data, to find prediction values of said filter data, to send the so found prediction values to said speech synthesis filter for use as linear prediction coefficients in said speech syntheses filter, as recited in claim 16.

Therefore, Applicants submit that independent claim 16 is patentable.

For reasons similar to those described above with regard to independent claim 16, claims 27-29, 37 and 38 are also believed to be patentable.

Therefore, Applicants submit that independent claims 16, 27-29, 37 and 38 are patentable.

## III. 35 U.S.C. §103(c) EXCEPTION

Claims 15, 26, 28, 36, 38, 47, 51 and 53 were rejected under 35 U.S.C. §103(a) as allegedly unpatentable over Tsushima in view of JP Application Publication No. 2000-134162 to Omori et al. (hereinafter, merely "Omori").

Omori is disqualified as §103 prior art to the present application under the provisions of 35 U.S.C. §103(c). Under the provisions of 35 U.S.C. §103(c), subject matter developed by another person, which qualifies as prior art only under one or more of subsections (e), (f) and (g) of 35 U.S.C. §102, shall not preclude patentability under §103 where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person or organization.

More specifically, M.P.E.P. §2146 states:

These changes to 35 U.S.C. 103(c) apply to all patents (including reissue patents) granted on or after December 10, 2004. The amendment to 35 U.S.C. 103(c) made by the AIPA to change "subsection (f) or (g)" to "one or more of subsections (e), (f), or (g)" applies to applications filed on or after November 29, 1999. It is to be noted that, for all applications (including reissue applications), if the application is pending on or after December 10, 2004, the 2004 changes to 35 U.S.C. 103(c), which effectively include the 1999 changes, apply; thus, the November 29, 1999 date of the prior revision to 35 U.S.C. 103(c) is no longer relevant. (Emphasis added)

Omori and the present application were, at the time the present invention was made, subject to an obligation of assignment to the same organization, i.e., Sony Corporation. Such obligation is evidenced by the recording of assignment documents in the U.S. Patent and Trademark Office.

Accordingly, Omori is disqualified as prior art in a rejection under 35 U.S.C. \$103(a); and thus all of the outstanding rejections based upon Omori in the above-noted Office

Therefore, Applicants respectfully submit that claims 15, 26, 28, 36, 38, 47, 51 and 53 are patentable.

Therefore, Applicants respectfully submit that claims 1, 8-10, 14-16, 27-29, 37-39, 46-48, 52 and 53 are patentable.

### IV. DEPENDENT CLAIMS

Action are overcome.

The other claims in this application are each dependent from the independent claim discussed above and are therefore believed patentable for at least the same reasons. Since each dependent claim is also deemed to define an additional aspect of the invention, however, the individual reconsideration of the patentability of each on its own merits is respectfully requested.

### CONCLUSION

In the event the Examiner disagrees with any of statements appearing above with respect to the disclosure in the cited reference, or references, it is respectfully requested that the Examiner specifically indicate those portions of the reference, or references, providing the basis for a contrary view.

Please charge any additional fees that may be needed, and credit any overpayment, to our Deposit Account No. 50-0320.

In view of the foregoing amendments and remarks, it is believed that all of the claims in this application are patentable and Applicants respectfully request early passage to issue of the present application.

Respectfully submitted,

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